

What if Risk Management Were Actually Easy?

Discover Regulatory Active Management System



THE BOARD
WAS UP IN ARMS



Record-breaking fines and lurid headlines were hurting the bank's reputation and its bottom line



Industry faces **\$100 BILLION** in fines and legal costs

*Bloomberg 8/23/2013

RISK EXPOSURE WAS AT AN ALL-TIME HIGH



- But how could they keep up with an ever increasing number of rules and changes
- In 1 quarter alone, more than 1,000 changes were filed
- And they had over 40,000 rules to monitor..... and growing
- From an expanding list of more than 200 regulators

Spend on headcount and expensive systems was spiraling upward



The Bank evaluated a number of potential solutions, but they were just too expensive, lacked an intuitive user-interface...

And most importantly, they couldn't easily connect internal data with external sources



But then Sandy, the bank's compliance officer, found the right solution...

ATTIVIO
ACTIVE INTELLIGENCE

ATTIVIO'S REGULATORY ACTIVE MANAGEMENT SYSTEM (RAMS)



Sounded great, but the skeptics said this would be a multiyear project

INSTEAD, THE SOLUTION WAS DEPLOYED IN A MATTER OF WEEKS

- Intelligently integrate internal sources, such as policies, procedures and other controls
- Create relationships and tailored workflows to quickly route potential risk exposure to the right people
- And present them with a single unified and relevant view



RAMS can automatically monitor every regulator and alert us about all rules and changes

- Gone were the days of navigating different internal and external data sources to gather, correlate, and analyze data
- Now, they have a single dashboard and integrated data applicable to all businesses and functions

THE RESULTS WERE IMPRESSIVE

20%

savings in productivity



\$1 MILLION SAVINGS
fewer fines and lower dollar amounts thanks to seamless audit trails

15% REDUCTION
in legal and set-aside costs

Smart redeployment of Compliance personnel to value-added services



.....AND A HAPPY BOARD

Contact Attivio today to arrange your personalized RAMS consultation and learn how easy risk management can be your new reality.

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